

# Short transformations between list colourings

Wouter Cames van Batenburg

Joint work with Stijn Cambie and Daniel Cranston

TU Delft

Eindhoven, May 2022

# Three questions

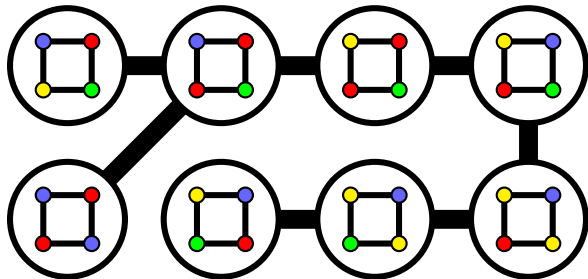
Given: a graph  $G$  and a positive integer  $k$ .

- Q1.** Does  $G$  have a proper  $k$ -colouring?
- Q2.** Can any two proper  $k$ -colourings of  $G$  be transformed into each other through a sequence of simple modifications?
- Q3.** How 'close' are the  $k$ -colourings of  $G$  to each other?

## Definition

The *reconfiguration graph*  $\mathcal{C}_k(G)$  has

- **vertices:** the proper  $k$ -colourings of  $G$ ;
- **edges:** two proper  $k$ -colourings are adjacent iff their corresponding colourings differ on exactly one vertex of  $G$ .



Example: Part of the reconfiguration graph  $\mathcal{C}_4(C_4)$

# Three Questions formalized

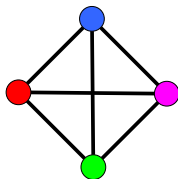
Given: a graph  $G$  and a positive integer  $k$ .

- Q1.** Does  $G$  have a proper  $k$ -colouring?  
Is  $\mathcal{C}_k(G)$  non-empty?
- Q2.** Can any two proper  $k$ -colourings of  $G$  be transformed into each other through a sequence of simple modifications?  
Is  $\mathcal{C}_k(G)$  connected?
- Q3.** How 'close' are the  $k$ -colourings of  $G$  to each other?  
What is the diameter of  $\mathcal{C}_k(G)$ ?

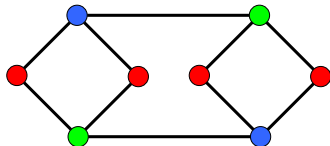
## Observation

If for each vertex  $v$  of  $G$ , all  $k$  colours appear in the closed neighbourhood  $N[v]$ , then the colouring is *frozen*; an isolated vertex of  $\mathcal{C}_k(G)$ .

Examples:



$$k = 4$$

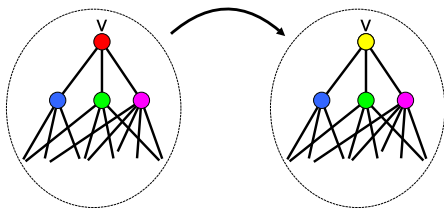


$$k = 3$$

# Degeneracy

## Definition

The *degeneracy*  $\text{degen}(G)$  of  $G$  is the smallest integer  $d$  such that each subgraph of  $G$  contains a vertex  $v$  of degree at most  $d$ .



If  $k \geq \text{degen}(G) + 2$ , then  $G$  has no frozen  $k$ -colouring.  
(Indeed: at least one colour does not appear on  $N[v]$ .)

# Non-empty? Connected?

**Q1.** Is  $\mathcal{C}_k(G)$  non-empty?

**Q2.** Is  $\mathcal{C}_k(G)$  connected?

# Non-empty? Connected?

**Q1.** Is  $\mathcal{C}_k(G)$  non-empty?

**A1.** Not necessarily if  $k \leq \text{degen}(G)$ .

Yes if  $k \geq \text{degen}(G) + 1$ .

**Q2.** Is  $\mathcal{C}_k(G)$  connected?



# Non-empty? Connected?

**Q1.** Is  $\mathcal{C}_k(G)$  non-empty?

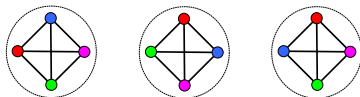
**A1.** Not necessarily if  $k \leq \text{degen}(G)$ .

Yes if  $k \geq \text{degen}(G) + 1$ .

**Q2.** Is  $\mathcal{C}_k(G)$  connected?

**A2.** Not necessarily if  $k \leq \text{degen}(G) + 1$ .

Yes if  $k \geq \text{degen}(G) + 2$ .



# Diameter?

If  $k \geq \text{degen}(G) + 2 \dots$

**Q3.** What is the diameter of  $\mathcal{C}_k(G)$ ?

# Diameter?

If  $k \geq \text{degen}(G) + 2 \dots$

**Q3.** What is the diameter of  $\mathcal{C}_k(G)$ ?

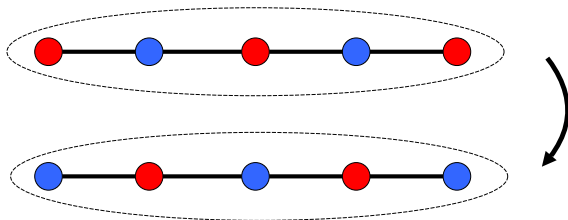
**A3.** There exist  $n$ -vertex graphs  $G$  with  
 $\text{diam}(\mathcal{C}_{\text{degen}(G)+2}(G)) = \Omega(n^2)$ .

# Diameter?

If  $k \geq \text{degen}(G) + 2 \dots$

**Q3.** What is the diameter of  $\mathcal{C}_k(G)$ ?

**A3.** There exist  $n$ -vertex graphs  $G$  with  
 $\text{diam}(\mathcal{C}_{\text{degen}(G)+2}(G)) = \Omega(n^2)$ .



Example: if  $G$  is a path, then  $\text{diam}(\mathcal{C}_3(G)) = \Omega(n^2)$ .

# Diameter?

If  $k \geq \text{degen}(G) + 2 \dots$

**Q3.** What is the diameter of  $\mathcal{C}_k(G)$ ?

**A3.** There exist  $n$ -vertex graphs  $G$  with  
 $\text{diam}(\mathcal{C}_{\text{degen}(G)+2}(G)) = \Omega(n^2)$ .

Conjecture (Cereceda, 2007)

If  $k \geq \text{degen}(G) + 2$ , then  $\text{diam}(\mathcal{C}_k(G)) = O(n^2)$

# Diameter?

If  $k \geq \text{degen}(G) + 2 \dots$

**Q3.** What is the diameter of  $\mathcal{C}_k(G)$ ?

**A3.** There exist  $n$ -vertex graphs  $G$  with  
 $\text{diam}(\mathcal{C}_{\text{degen}(G)+2}(G)) = \Omega(n^2)$ .

Conjecture (Cereceda, 2007)

If  $k \geq \text{degen}(G) + 2$ , then  $\text{diam}(\mathcal{C}_k(G)) = O(n^2)$

Theorem (Bousquet, Heinrich, 2022)

If  $k \geq \text{degen}(G) + 2$ , then  $\text{diam}(\mathcal{C}_k(G)) = O(n^{\text{degen}(G)+1})$

# What about maximum degree?

$\Delta(G) :=$  maximum degree of  $G$ .

Theorem (Bousquet et al, 2022+)

If  $k \geq \Delta(G) + 2$ , then  $\text{diam}(\mathcal{C}_k(G)) = O(\Delta(G) \cdot n)$ .

# What about maximum degree?

$\Delta(G)$  := maximum degree of  $G$ .

Theorem (Bousquet et al, 2022+)

If  $k \geq \Delta(G) + 2$ , then  $\text{diam}(\mathcal{C}_k(G)) = O(\Delta(G) \cdot n)$ .

**Q:** Can dependency on  $\Delta(G)$  be removed from upper bound? **Yes:**



# What about maximum degree?

$\Delta(G)$  := maximum degree of  $G$ .

Theorem (Bousquet et al, 2022+)

If  $k \geq \Delta(G) + 2$ , then  $\text{diam}(\mathcal{C}_k(G)) = O(\Delta(G) \cdot n)$ .

**Q:** Can dependency on  $\Delta(G)$  be removed from upper bound? **Yes:**

Theorem (Cambie, C., Cranston, 2022+)

If  $k \geq \Delta(G) + 2$ , then  $\text{diam}(\mathcal{C}_k(G)) \leq 2n$ .

# What about maximum degree?

$\Delta(G)$  := maximum degree of  $G$ .

Theorem (Bousquet et al, 2022+)

If  $k \geq \Delta(G) + 2$ , then  $\text{diam}(\mathcal{C}_k(G)) = O(\Delta(G) \cdot n)$ .

**Q:** Can dependency on  $\Delta(G)$  be removed from upper bound? **Yes:**

Theorem (Cambie, C., Cranston, 2022+)

If  $k \geq \Delta(G) + 2$ , then  $\text{diam}(\mathcal{C}_k(G)) \leq 2n$ .

Corollary: Cereceda's conjecture is (more than) true for regular graphs.

# What about maximum degree?

$\Delta(G)$  := maximum degree of  $G$ .

Theorem (Bousquet et al, 2022+)

If  $k \geq \Delta(G) + 2$ , then  $\text{diam}(\mathcal{C}_k(G)) = O(\Delta(G) \cdot n)$ .

**Q:** Can dependency on  $\Delta(G)$  be removed from upper bound? **Yes:**

Theorem (Cambie, C., Cranston, 2022+)

If  $k \geq \Delta(G) + 2$ , then  $\text{diam}(\mathcal{C}_k(G)) \leq 2n$ .

Corollary: Cereceda's conjecture is (more than) true for regular graphs.  
But can we do even better?

Recall our theorem:  $\text{diam}(\mathcal{C}_k(G)) \leq 2n$ , for all  $k \geq \Delta(G) + 2$ .

Remark:  $n \leq \text{diam}(\mathcal{C}_k(G))$ , for all  $k$ .

Recall our theorem:  $\text{diam}(\mathcal{C}_k(G)) \leq 2n$ , for all  $k \geq \Delta(G) + 2$ .

Remark:  $n \leq \text{diam}(\mathcal{C}_k(G))$ , for all  $k$ .

Indeed: consider two  $k$ -colourings  $\alpha$  and  $\beta$  of  $G$ , such that  $\alpha(v) \neq \beta(v)$ , for every vertex  $v$ . Then every vertex needs to be recoloured at least once. □

---

Recall our theorem:  $\text{diam}(\mathcal{C}_k(G)) \leq 2n$ , for all  $k \geq \Delta(G) + 2$ .

Remark:  $n \leq \text{diam}(\mathcal{C}_k(G))$ , for all  $k$ .

Truth in the middle?

Recall our theorem:  $\text{diam}(\mathcal{C}_k(G)) \leq 2n$ , for all  $k \geq \Delta(G) + 2$ .

Remark:  $n \leq \text{diam}(\mathcal{C}_k(G))$ , for all  $k$ .

Truth in the middle?

Conjecture (Cambie, C., Cranston, 2022)

If  $G$  is a graph on  $n$  vertices, then for every  $k \geq \Delta(G) + 2$ ,

$$\text{diam}(\mathcal{C}_k(G)) \leq \left\lfloor \frac{3n}{2} \right\rfloor.$$

True with equality for the complete graph (Bonamy and Bousquet, 2018).

Recall our theorem:  $\text{diam}(\mathcal{C}_k(G)) \leq 2n$ , for all  $k \geq \Delta(G) + 2$ .

Remark:  $n \leq \text{diam}(\mathcal{C}_k(G))$ , for all  $k$ .

Truth in the middle?

Conjecture (Cambie, C., Cranston, 2022+)

If  $G$  is a graph on  $n$  vertices with matching number  $\mu(G)$ , then for every  $k \geq \Delta(G) + 2$ ,

$$\text{diam}(\mathcal{C}_k(G)) = n + \mu(G) \leq \left\lfloor \frac{3n}{2} \right\rfloor.$$

True for the complete graph (Bonamy and Bousquet, 2018).



# Intuition lower bound $n + \mu(G)$

## Observation

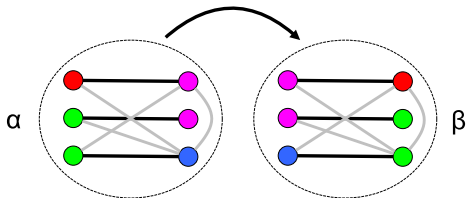
Consider a maximum matching  $M$  of  $G$ , with edges  $v_1v_2, v_3v_4, \dots$

Suppose there exist two proper  $k$ -colourings  $\alpha, \beta$  of  $G$  such that their colours are swapped on each edge of the matching. I.e. for all  $i$ :

$$\alpha(v_{2i-1}) = \beta(v_{2i}) \text{ and } \beta(v_{2i-1}) = \alpha(v_{2i}).$$

Then

$$\text{diam}(\mathcal{C}_k(G)) \geq \text{dist}(\alpha, \beta) \geq n + \mu(G).$$



# Intuition lower bound $n + \mu(G)$

## Observation

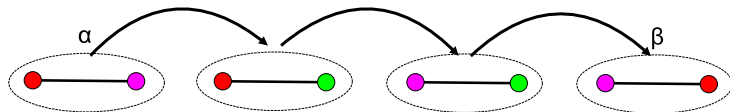
Consider a maximum matching  $M$  of  $G$ , with edges  $v_1v_2, v_3v_4, \dots$ . Suppose there exist two proper  $k$ -colourings  $\alpha, \beta$  of  $G$  such that their colours are swapped on each edge of the matching. I.e. for all  $i$ :

$$\alpha(v_{2i-1}) = \beta(v_{2i}) \text{ and } \beta(v_{2i-1}) = \alpha(v_{2i}).$$

Then

$$\text{diam}(\mathcal{C}_k(G)) \geq \text{dist}(\alpha, \beta) \geq n + \mu(G).$$

Proof: To transform  $\alpha$  into  $\beta$ , we need at least three recolourings on  $\{v_{2i-1}, v_{2i}\}$ , for all  $i$ . So in total we need  $\geq n + \mu(G)$  recolourings. □



# Intuition lower bound $n + \mu(G)$

## Observation

Consider a maximum matching  $M$  of  $G$ , with edges  $v_1v_2, v_3v_4, \dots$ .  
*Suppose there exist two proper  $k$ -colourings  $\alpha, \beta$  of  $G$  such that their colours are swapped on each edge of the matching. I.e. for all  $i$ :*

$$\alpha(v_{2i-1}) = \beta(v_{2i}) \text{ and } \beta(v_{2i-1}) = \alpha(v_{2i}).$$

Then

$$\text{diam}(\mathcal{C}_k(G)) \geq \text{dist}(\alpha, \beta) \geq n + \mu(G).$$

*E.g. directly implies that  $\text{diam}(\mathcal{C}_k(G)) \geq n + \mu(G)$  if  $G$  complete bipartite. Also...*

## Theorem (CCC, 2022+)

For every  $k \geq \Delta(G) + 2$  we have

$$\text{diam}_k(G) \geq n + \mu(G)$$

in each of the following cases:

- $\Delta(G) \leq 3$ ;
- $G$  triangle-free with  $\Delta(G)$  suff. large;
- $G = G_{n,p}$  the random graph with  $p \in (0, 1)$  fixed, (a.a.s. as  $n \rightarrow \infty$ ).

## Theorem (CCC, 2022+)

For every  $k \geq \Delta(G) + 2$  we have

$$\text{diam}_k(G) \geq n + \mu(G)$$

in each of the following cases:

- $\Delta(G) \leq 3$ ;
- $G$  triangle-free with  $\Delta(G)$  suff. large;
- $G = G_{n,p}$  the random graph with  $p \in (0, 1)$  fixed, (a.a.s. as  $n \rightarrow \infty$ ).

Furthermore, for large enough  $k$  we achieve equality:

## Theorem (CCC, 2022+)

For every graph  $G$  and every  $k \geq 2\Delta(G) + 1$ ,

$$\text{diam}_k(G) = n + \mu(G)$$

# Generalization to list-colouring

A *list-assignment*  $L$  provides each vertex  $v$  of  $G$  with a list  $L(v)$  of possible colours. An  $L$ -colouring, is a proper colouring such that each vertex  $v$  receives a colour from  $L(v)$ . For fixed  $L$ , we can again define a *reconfiguration graph*  $\mathcal{C}_L(G)$  for all  $L$ -colourings of  $G$ .

## List Conjecture (CCC, 2022)

If  $|L(v)| \geq \deg(v) + 2$  for every vertex  $v$ , then

$$\text{diam}(\mathcal{C}_L(G)) \leq n + \mu(G).$$

- Best possible if true.

# Generalization to list-colouring

A *list-assignment*  $L$  provides each vertex  $v$  of  $G$  with a list  $L(v)$  of possible colours. An  $L$ -colouring, is a proper colouring such that each vertex  $v$  receives a colour from  $L(v)$ . For fixed  $L$ , we can again define a *reconfiguration graph*  $\mathcal{C}_L(G)$  for all  $L$ -colourings of  $G$ .

## List Conjecture (CCC, 2022)

If  $|L(v)| \geq \deg(v) + 2$  for every vertex  $v$ , then

$$\text{diam}(\mathcal{C}_L(G)) \leq n + \mu(G).$$

- Best possible if true.

Indeed: Choose any maximum matching  $M$ . Choose  $L$  such that vertices incident to the same edge of  $M$  receive the same list, but disjoint from all other lists. Then  $\text{diam}(\mathcal{C}_L(G)) \geq n + \mu(G)$ .  $\square$

## List Conjecture (CCC, 2022+)

If  $|L(v)| \geq \deg(v) + 2$  for every vertex  $v$ , then  $\text{diam}(\mathcal{C}_L(G)) \leq n + \mu(G)$ .

We proved the List Conjecture for all *trees, cycles, bipartite cubic graphs, complete bipartite graphs and complete graphs*. Furthermore,

## Theorem (CCC, 2022+)

- If  $|L(v)| \geq \deg(v) + 2$  for every  $v$ , then  $\text{diam}(\mathcal{C}_L(G)) \leq n + 2\mu(G)$ .
- If  $|L(v)| \geq 2\deg(v) + 1$  for every  $v$ , then  $\text{diam}(\mathcal{C}_L(G)) \leq n + \mu(G)$ .



# The first step towards the $n + 2\mu(G)$ upper bound

## Lemma

Let  $G$  be an  $n$ -vertex graph and  $L$  a list-assignment s.t.  $|L(v)| \geq \deg(v) + 2$  for all  $v \in V(G)$ . Then  $\text{dist}(\alpha, \beta) \leq 2n$  for any two  $L$ -colourings  $\alpha, \beta$ .

Proof:

# The first step towards the $n + 2\mu(G)$ upper bound

## Lemma

Let  $G$  be an  $n$ -vertex graph and  $L$  a list-assignment s.t.  $|L(v)| \geq \deg(v) + 2$  for all  $v \in V(G)$ . Then  $\text{dist}(\alpha, \beta) \leq 2n$  for any two  $L$ -colourings  $\alpha, \beta$ .

Proof: Induction on  $|\beta(V(G))|$ , the number of distinct colours under  $\beta$ .  
Since

$$\sum_{c \in \alpha(V(G))} |\alpha^{-1}(c)| = n = \sum_{c \in \beta(V(G))} |\beta^{-1}(c)|,$$

there exists colour  $c$  such that  $|\alpha^{-1}(c)| \leq |\beta^{-1}(c)|$ .

# The first step towards the $n + 2\mu(G)$ upper bound

## Lemma

Let  $G$  be an  $n$ -vertex graph and  $L$  a list-assignment s.t.  $|L(v)| \geq \deg(v) + 2$  for all  $v \in V(G)$ . Then  $\text{dist}(\alpha, \beta) \leq 2n$  for any two  $L$ -colourings  $\alpha, \beta$ .

Proof: Induction on  $|\beta(V(G))|$ , the number of distinct colours under  $\beta$ .  
Since

$$\sum_{c \in \alpha(V(G))} |\alpha^{-1}(c)| = n = \sum_{c \in \beta(V(G))} |\beta^{-1}(c)|,$$

there exists colour  $c$  such that  $|\alpha^{-1}(c)| \leq |\beta^{-1}(c)|$ .

- Recolour each  $v \in \alpha^{-1}(c)$  to some colour different from  $c$ .
- Then recolour  $\beta^{-1}(c)$  to  $c$ .

This takes  $|\alpha^{-1}(c)| + |\beta^{-1}(c)| \leq 2|\beta^{-1}(c)|$  recolouring steps.

# The first step towards the $n + 2\mu(G)$ upper bound

## Lemma

Let  $G$  be an  $n$ -vertex graph and  $L$  a list-assignment s.t.  $|L(v)| \geq \deg(v) + 2$  for all  $v \in V(G)$ . Then  $\text{dist}(\alpha, \beta) \leq 2n$  for any two  $L$ -colourings  $\alpha, \beta$ .

Proof: Induction on  $|\beta(V(G))|$ , the number of distinct colours under  $\beta$ .  
Since

$$\sum_{c \in \alpha(V(G))} |\alpha^{-1}(c)| = n = \sum_{c \in \beta(V(G))} |\beta^{-1}(c)|,$$

there exists colour  $c$  such that  $|\alpha^{-1}(c)| \leq |\beta^{-1}(c)|$ .

- Recolour each  $v \in \alpha^{-1}(c)$  to some colour different from  $c$ .
- Then recolour  $\beta^{-1}(c)$  to  $c$ .

This takes  $|\alpha^{-1}(c)| + |\beta^{-1}(c)| \leq 2|\beta^{-1}(c)|$  recolouring steps.

Now apply induction to  $G - \beta^{-1}(c)$ , with colour  $c$  removed from all lists.

In total we use  $\leq \sum_{c \in \beta(V(G))} 2|\beta^{-1}(c)| = 2n$  steps. □

- Prove the List Conjecture for more graph classes. *Bipartite, complete multipartite, subcubic, outerplanar, planar, ...*
- Is it true that  $\text{diam}(C_k(G)) \geq n + \mu(G)$  for every  $k \geq \Delta(G) + 2$ ?
- Bonus: *Correspondence Conjecture*

Thank you for your attention!

## Theorem (Cambie, C., Cranston, 2022)

$\text{diam}_k(G) \geq n + \mu(G)$  in each of the following cases:

- $k \geq 2\Delta(G)$ ;
- $k \geq \Delta(G) + 2 = 5$ ;
- $k \geq \Delta(G) + 2$  and  $G$  triangle-free with  $\Delta(G)$  suff. large;
- $k \geq \Delta(G) + 2$  and  $G = G_{n,p}$  the random graph (a.a.s. as  $n \rightarrow \infty$ ).

On the other hand:

## Theorem (Cambie, C., Cranston, 2022)

$\text{diam}_k(G) \leq n + 2\mu(G)$  if

- $k \geq \Delta(G) + 2$ ,

and  $\text{diam}_k(G) = n + \mu(G)$  in each of the following cases:

- $k \geq 2\Delta(G) + 1$ ;
- $k \geq \Delta(G) + 2$  and  $G$  complete bipartite, complete, cycle or a tree.